



City of Arts & Innovation

Governmental Affairs Committee Memorandum

TO: GOVERNMENTAL AFFAIRS COMMITTEE **DATE: OCTOBER 5, 2016**
FROM: INTERNAL AUDIT DIVISION **WARDS: ALL**
SUBJECT: SUMMARY REPORT ON FISCAL YEAR 2015/16 WORKPLAN

ISSUE:

Receive, review, provide input, and recommend approval to the City Council a summary report of the Internal Audit Division's FY2015/16 workplan.

RECOMMENDATIONS:

That the Governmental Affairs Committee receive, review, provide input, and recommend approval to the City Council a summary report of the Internal Audit Division's FY2015/16 workplan.

LEGISLATIVE HISTORY:

This report is the bi-annual review of the FY2015/16 Internal Audit Workplan as required in the Internal Audit Governing Rules.

BACKGROUND:

On March 2, 2016 the Governmental Affairs Committee received, reviewed, provided input and recommended the City Council adopt a resolution approving the Internal Audit Governing Rules. On April 12, 2016 the City Council item passed unanimously.

DISCUSSION:

During the second half of FY2015/16 the Internal Audit Division expanded and defined services to include:

1. Performance Audits
2. Assurance/Consulting
3. Monitoring

Results

- Five Performance Audits were issued;
- Three Assurance/Consulting engagements were completed;

- Two monthly Monitoring activities were implemented; and
- Three External Performance Audits & Financial Expenditure Reviews were completed.

The above was accomplished with a staff of two ~ an Internal Audit Manager and a Senior Internal Auditor.

FISCAL IMPACT:

There is no fiscal impact associated with this report.

Prepared by: Cheryl Johannes, Internal Audit Manager
Certified as to
availability of funds: Scott G. Miller, PhD., Chief Financial Officer/City Treasurer
Approved by: Marianna Marysheva, Assistant City Manager
Approved as to form: Gary G. Geuss, City Attorney

Attachment: Presentation