

Human Resources
Audit Action Plan Status Report

| Recommendations | Action Plan | Task Status | Division | Progress/Comments | Target Completion |
|--|---|-------------|----------------|---|--|
| 1 The Human Resources Department is sufficiently staffed at 30 full-time authorized positions, but as several positions have been frozen the Department will be understaffed even at filled positions relative to other comparable jurisdictions. Due to these frozen positions it is even more imperative for the Department to fill all of its vacant positions to continue to provide basic Human Resources services to the City. | Correction to number of full-time FTEs: There are 28 FTEs in the Human Resources Department budget. Currently the department has 6 vacant positions, as follows: HR Director (interviews in mid-September, selection expected soon thereafter) Deputy HR Director: Recruitment began in August 2016, advertised state-wide and will remain open until the position is filled. The first review of applications begins in early September. Other vacancies: Safety Officer, Principal HR Analyst for Training, and Principal HR Analyst for Employee Relations. The Senior Office Specialist position was recently filled, with the incumbent starting September 9, 2016. The expectation is to fill all existing vacancies by February 2017. | In Progress | Administration | The department filled 2 Principal HR Analysts and is in the process of hiring 3 HR Specialists in February and March 2017. Remaining vacancies: HR Analyst, Safety Officer, and Sr. Administrative Assistant. Recruitments are also under way for two new vacancies created with a recent resignation and promotion: Business Systems Support Manager and Senior Office Specialist. | February 2017 |
| 2.1 The Department should develop appropriate performance measures to track and report statistics that measure timeliness and compliance such as days to resolve complaints, incidents, or turnover rate. | Quarterly Departmental metrics are in the process of being established for all divisions. | In Progress | Administration | 65% of divisions completed metrics; 35% are in progress for 4th quarter 2016. | May 2017 |
| 2.2 The Department should adopt service level standards / goals for each performance measure. | The Department will research industry standards to develop acceptable service levels and identify a target for each performance measure. To gather data on the selected measures, the Human Resources Department will send an internal Quality of Human Resources Services Survey out on an annual basis to all departments via Survey Monkey. | Not Started | Administration | | June 2017 |
| 3.1 The Department should utilize the quarterly performance evaluation compliance reports (showing percent of evaluations completed on time) to encourage departments to improve their compliance rate. These compliance rates should be published annually as performance measures to force Human Resources staff as well as Departmental staff to improve their compliance with performance evaluations. This should be implemented immediately. | A Performance Evaluation Compliance Report has been developed, and will be distributed Citywide monthly until all employees are evaluated in July-August beginning in 2017. Thereafter, the report will be distributed annually. Every time the report goes out, the HR Department will follow up with the department directors with delayed or missing evaluations. | Completed | Administration | December 2016 compliance reports were completed 01/05/17. | Monthly: effective immediately Annually : effective August 2017 |

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| 3.2 While the Department is moving to July 1st for all performance evaluations, implementing an online module for performance evaluations will help improve the compliance rate. The department should make sure that this software interfaces with IFAS for payroll merit increases. The performance evaluation module could be part of the Learning Management Software System implementation and Riverside Public Utilities could help absorb the fiscal impact. | A Workforce Development Team has been established for the Talent Management System project with employee members from Riverside Public Utilities, Human Resources, and Information Technology Departments. The Team will initially focus on two modules: Employee Performance Management System (PMS) and Employee Learning Management System (LMS). Additional modules may be selected and phased in. | Not Started | Administration | | Tentative Implementation Schedule: Performance Management System – December 2017 Learning Management System – December 2018 |
| 4.1 The Department should issue and award the Learning Management Software RFP already developed. Once the RFP is issued a vendor should be selected and the software should be implemented as soon as possible. This should happen within the next year. | As stated under Recommendation 3.2 above, a Workforce Development Team has been established for the Talent Management System project with employee members from Riverside Public Utilities, Human Resources, and Information Technology Departments. The Team will initially focus on two modules: Performance Management System (PMS) and Learning Management System (LMS). Additional modules may be selected and phased in. Relevant RFP(s) will be developed and issued to procure the systems. | Not Started | Administration | | Tentative Implementation Schedule: Performance Management System – December 2017 Learning Management System – December 2018 |
| 4.2 The Department should develop a technology strategic plan (5 years) that identifies a long term strategy for HR technology needs detailing where, how, and when the department will address current technology deficiencies. This plan should be developed in conjunction with City IT to make sure that this plan is compatible with Citywide goals. | The HR Department is in the process of reviewing the strategic goals of the department and identifying any related IT goals. The HR Department will work with the City's Innovation and Technology (IT) Department to incorporate HR-specific IT needs into the Citywide Technology Master Plan. | In Progress | Administration | Strategic IT goals have been identified. The department will work collaboratively with the Innovation & Technology Department in achieving these goals. | July 2017 |
| 5 The Department should be reorganized to allow for greater cross-functionality and more equitable span of control. This proposed reorganization includes housing Training & Development and Classification & Compensation with Recruitment and Benefits & Wellness and takes into account the frozen positions currently existing in Human Resources. | Upon successfully hiring of Human Resources Director and Deputy Human Resources Director, the new proposed reorganization will be discussed and implemented if feasible. | Completed | Administration | The Human Resources Department eliminated 1 FTE Deputy HR Director and repurposed the position to fund 1 HR Analyst and 1 HR Specialist. All divisions will report to the remaining Deputy HR Director. | January 2017 |
| 6 The Department should track and regularly report on the number of days from receipt of the initial P1 to acceptance of an employment offer for each recruitment. They should establish service level targets for this measure ranging from 45-120 days based upon type of position. | Phase I - The Recruitment Division currently prepares a weekly report, which provides information on the status of recruitments. The report was recently refined to reflect current P1 to acceptance timelines. The department will research industry standards on service level targets on completing recruitments. Phase II - The HR Department will work to identify efforts to expedite hiring. | In Progress | Recruitment | Phase I - Complete October 2017 | Phase I – October 2017 Phase II – October 2018 |

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| 7 The Department should continue with its proposed changes to the recruitment and hiring practices in order to streamline the process, reduce the burden on customer departments, and adopt service level standards for recruitments. | Phase I - The department has implemented a new streamlined process to expedite and complete on-boarding of new employees within acceptable service level standards. The automation of the Personnel Requisition Form (P-1) has been implemented. Phase II – The Recruitment Division plans to automate the Personnel Action Form (P-2) utilizing its Applicant Tracking Database, NEOGOV, thereby resulting in a more streamlined process for processing the hires in the system. | In Progress | Recruitment | Phase I - Complete | Phase I - Completed Phase II – October 2017 |
| 8 The Department should include succession planning features in its specifications for an HRMIS system. If the Department opts not to acquire such a system within a short time period, or their system will not have these features, they should use existing data available through IFAS to project upcoming retirements based on age and years of service. | The HR Department will assist in evaluating the potential for succession planning in the new Talent Management System. A phased-in approach will be considered first by identifying employees that may be leaving the organization in the near future. | Not Started | Recruitment | | Tentative Implementation Schedule: December 2018 |
| 9 The Department should periodically review the diversity and qualifications of candidates from each of its recruitment sources in order to make a determination about which sources best meet the City's needs. | The department currently gathers diversity and source data by means of a standard question asked of each applicant on the City Application. The department will develop a plan to review diversity demographics to make a determination about which sources best meet the City's needs. | Not Started | Recruitment | | June 2017 |
| 10 When a deep pool of highly qualified candidates is not available for a position, the Department should make a practice, with the hiring manager, of revisiting the job description and position requirements to see if they can be modified to allow appropriate substitutions for traditionally preferred experience and training. | This is an established practice that the division's recruiters are following with the hiring managers. Upon the start of a recruitment the recruiter meets with the hiring manager to review the job description, position requirements and identifying the appropriate outreach activities in order to attract the most highly qualified and competitive candidate pool. | Completed | Recruitment | | Established Practice |
| 11 Human Resources staff should develop pre-identified goals for each wellness activity. At the end of the year (fiscal or calendar) a report should be generated that states how each wellness activity addressed a different wellness goal. This report should be used to make decisions regarding what wellness activities should be retained and if any new activities should be developed. | Each wellness activity has pre-identified program goals. For example, the Maintain Don't Gain program's goals are for employees to maintain their weight through the Holidays and make healthier eating choices. After each activity, program results are communicated to all participants. HR will produce a comprehensive report that includes metrics to be evaluated to determine if various wellness programs should be continued, changed or discontinued. | Not Started | Benefits and Wellness | | July 2017 |
| 12 The completion of the benefits audit should be given high priority. Notification letters and request for documentation need to be sent to all non-compliant employees with a deadline for return to HR. | The benefits audit is currently in process and will be implemented in two phases. Phase I will include a general notice to all employees instructing them to submit required documentation. Phase II will include custom letters to those employees who have not yet complied and are still missing documentation | In Progress | Benefits and Wellness | Phase I - Completed during Open Enrollment 2016 (Nov); 476 employees complied. Phase II - To be launched February 2017 | Phase I – Complete by January 2017 Phase II – Complete by April 2017 |

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| 13.1 The Department should fill the Principal HR Analyst position for its Training and Development unit to ensure that city staff is meeting mandatory training requirements; to coordinate additional trainings, and focus on standardizing staff development throughout the city, by developing professional development plans for key positions. | Recruitment efforts are ongoing for a permanent Principal HR Analyst for Training & Development. Once an incumbent is hired, one of his/her priorities will be to develop a comprehensive Citywide Training Program, which will take into account each employee's training needs. In the meantime, the HR Department is working to launch a pilot project to offer a select number of classes Citywide, to be taught by City employees with relevant knowledge and experience. | Completed | Training and Development | The Principal HR Analyst has been hired January 2017 and has started to work on a training needs assessment strategy. | July 2017 |
| 13.2 Staff should focus on improving compliance rate for timely completion of performance evaluations throughout the city. | As stated under Recommendation 3.1 above, a Performance Evaluation Compliance Report has been developed, and will be distributed Citywide monthly until all employees are evaluated in July-August beginning in 2017. Thereafter, the report will be distributed annually. Every time the report goes out, the HR Department will follow up with the department directors with delayed or missing evaluations. | Completed | Training and Development | December 2016 compliance reports were completed 01/05/17. | Monthly effective immediately Annually effective August 2017 |
| 14 Training Evaluation Forms should be transitioned to an online format to allow for greater and more efficient use of the feedback provided by City Employees. | The Training & Development Division utilizes Survey Monkey for online training evaluations. Paper evaluation forms are provided to participants before they leave the training session to encourage immediate feedback; forms are then entered online to the Survey Monkey portal by HR staff. | Completed | Training and Development | The Training & Development Division utilizes Survey Monkey for efficient use of the feedback provided by City employees. | Established Practice |
| 15 The Department should utilize the Principal HR Analyst position to develop a methodology to conduct an annual training needs assessment either through an annual training plan, an internal survey / feedback form, or through the performance development plans. | As stated under Recommendation 13.1, recruitment is underway for a permanent Principal HR Analyst for Training & Development. Once an incumbent is hired, one of his/her priorities will be to develop a comprehensive Citywide Training Program, which will take into account each employee's training needs. In the meantime, the HR Department is working to launch a pilot project to offer a select number of classes Citywide, to be taught by City employees with relevant knowledge and experience. | In Progress | Training and Development | | July 2017 |
| 16.1 Implementing a professional development module will automate the tracking of training records and hours throughout the City. This will enable the department to utilize training statistics to measure employee progress through the staff development plans developed as well as identify any gaps in trainings. The professional development module could be part of the Learning Management system, which as previously discussed is currently in the process of being acquired with assistance from Riverside Public Utilities. | As stated under Recommendation 3.2 above, a Workforce Development Team has been established for the Talent Management System project. The Team will initially focus on two modules: Performance Management System (PMS) and Learning Management System (LMS). The new Learning Management System is intended to include a professional development module. | Not Started | Training and Development | | Tentative Implementation Schedule: Learning Management System – December 2018 (12 Months) |

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| 16.2 The Principal HR Analyst should conduct analysis of current training records to identify gaps in trainings such as supervisory trainings or new employee orientation. This will enable the department to utilize training compliance rate as a performance measure. | As stated under Recommendation 13.1, recruitment is underway for a permanent Principal HR Analyst for Training & Development. Once an incumbent is hired, one of his/her priorities will be to develop a comprehensive Citywide Training Program, which will take into account each employee's training needs. In the meantime, the HR Department is working to launch a pilot project to offer a select number of classes Citywide, to be taught by City employees with relevant knowledge and experience. | Not Started | Training and Development | | July 2017 |
| 16.3 Any current or proposed trainings for employees should be part of the employee's performance evaluation. If an employee doesn't comply with training requirements those should be part of whether an employee is meeting the basic performance requirements. | Training and Development (goals and objectives) is already established in Section VI of the current Performance Evaluation Form. A new Talent Management System (Performance Management module) would provide the department the ability to support compliance with the proposed training and development objectives. | Not Started | Training and Development | | Established practice, with enhancements upon acquisition of a new Talent Management System (Performance Management module) by December 2017 |
| 17 The Principal HR Analyst should develop standardized Professional Development Plans that identify the career paths of positions that should be implemented during the on-boarding process and updated during every performance evaluation (probationary evaluation, annual evaluations, etc.). | As stated under Recommendation 13.1, recruitment is underway for a permanent Principal HR Analyst for Training & Development. Once an incumbent is hired, one of his/her priorities will be to research and develop standardized Professional Development Plans within the parameters of the new Talent Management System. | Not Started | Training and Development | In progress (through Talent Management System) | To be determined |
| 18 The Principal HR Analyst should review online training courses to identify potential training courses that can be implemented through online services to City employees. Additionally, the City should seek to partner with the County, the University of California Riverside, California Baptist University, and other public sector entities to share training resources. The fiscal impact to this recommendation could vary depending upon the partnership agreements developed and online vendors chosen for training purposes. | As stated under Recommendation 13.1, recruitment is underway for a permanent Principal HR Analyst for Training & Development. Once an incumbent is hired, one of his/her priorities will be to research and identify potential training courses that can be offered online City employees. An established partnership already exists with the County of Riverside, and the HR Department will explore additional feasible partnerships with other agencies. | Not Started | Training and Development | In progress | March 2017 |
| 19 The Department should develop and institute a formalized mentorship program for new employees. The mentorship program should be managed by the Principal HR Analyst in conjunction with professional development and training plans for all employees. | A voluntary mentorship program is already available to all City employees. Upon hiring of Principal HR Analyst in Training/Development, the program will be actively promoted and enhanced as necessary. | Not Started | Training and Development | | March 2018 |
| 20.1 The Department should develop a rotating schedule for reviewing and updating position descriptions for accuracy at least once every four years. | The HR Department's Employee Relations/Classification & Compensation team will develop a rotating schedule for periodic review of job descriptions based upon job families. | Not Started | Classification and Compensation | | July 2017 |

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| 20.2 The Department should include a regular assessment of the appropriateness of employees' classifications as part of the periodic position description review. | Departments currently submit classification study requests on an as-needed basis and through budget cycle. Current resources do not allow for consistent/regular assessment. | Completed | Classification and Compensation | | Established practice, which could be refined with additional resources |
| 21 The Department should propose the development and implementation of a broad band citywide salary schedule to reduce hierarchy and promote internal movement and increased pay incentive. | The HR Department believes the existing system is effective, allowing for internal progression within certain classification series. The City's prior experience with broad banding was not successful, creating confusion within incumbents within the series. | Not Applicable | Classification and Compensation | | Not applicable |
| 22 Annual facility / floor inspections should be conducted to ensure that City Departments are in compliance with OSHA regulations and to reduce the number of injuries / workers' compensation claims for the city. These inspections should be divided between the three positions in Safety. | The HR Department will follow up with all City departments to complete facility/ floor inspections on a more consistent basis. Once a full-time permanent Safety Officer is identified, HR will be able to provide a more proactive oversight of the process. | Not Started | Safety | | July 2017 |
| 23 The Department should ensure that the recently filled HR Specialist position is utilized to resolve preventable incidents in a reasonable timeframe (typically 2 months). | Incidents are typically resolved at the Vehicle Incident Review Board (VIRB) within 2 months. After VIRB determination, employees who are subject to disciplinary action will be addressed in accordance with the City's Discipline Policy timelines (which requires additional time to resolve). | Completed | Classification and Compensation | | Established Practice |
| 24.1 The Principal HR Analyst for Safety should annually review all components of the Health & Safety Policies and Procedures Manual. | Recruitment efforts are ongoing for a permanent Principal HR Analyst for Safety. Once an incumbent is identified, one of his/her priorities will be to review and revise as necessary the Health & Safety Policies and Procedures Manual. | In Progress | Safety | Review of Safety policies have started with III-02 Vehicle and Driver Safety and III-04 Vehicle Incident Review Board Guidelines | January 2017 |
| 24.2 An injury and illness prevention plan binder should be at every facility / floor in the City. | Currently, City Policy exists for Injury and Illness Prevention. HR will work with departments to research and develop department-specific plans if necessary. | Not Started | Classification and Compensation | | September 2018 |
| 24.3 Safety training should be mandatory training for all new City Employees, including identifying employees of the Safety hotline that is available to all city employees. | Safety training is being conducted at Pre-Employment sessions for all new City employees, with information on the Safety Hotline provided. | Completed | Classification and Compensation | | Established Practice |
| 25.1 The Department should develop a consistent policy identifying which employee issues will be handled internally versus through the use of contacted resources. This could include specifically identifying workload levels, but for greater consistency should be based on the type of investigations, liability risk, and conflicts of interest. | The HR Department will develop internal procedures to codify an established practice. | Not Started | Employee/Labor Relations | | March 2017 |
| 25.2 If the department chooses to staff its vacant Principal HR Analyst position, there would be no need to conduct external investigations (except for limited situations as outlined are present). Elimination of the heavy use of external resources would result in cost avoidance of \$55,000 annually. | Even with the position in Employee Relations filled, there will likely be a continued need for external investigators, especially for highly sensitive/highly complicated cases. | Not Applicable | Employee/Labor Relations | | Not applicable |

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| 26 The Department should utilize its current disciplinary and investigation statistics to measure its effectiveness at resolving complaints as well increasing standardization of response to internal complaints. | The HR Department will develop reporting mechanisms to review and measure effectiveness of resolving complaints. | Not Started | Employee/Labor Relations | | October 2017 |
| 27 Employee / Labor Relations staff should continue its informal strategies of team building activities, but also analyze grievance information annually to identify areas where policy or MOU changes or additional training would be beneficial to minimize the number of grievances handled annually. The greatest focus should be on the larger departments such as Police, Parks & Rec, Utilities, and Public Works. | The HR Department will develop a plan to analyze grievance information annually to identify areas where additional training and/or policy or MOU changes are needed. | Not Started | Employee/Labor Relations | | September 2017 |
| 28 The Department should utilize the grievance timeframes identified in MOUs as the target timeframes for grievance resolution. This can be used as a performance measure, as well as improve customer service for the Department. | The Employee Relations Division currently utilizes grievance timeframes as identified in MOUs. | Completed | Employee/Labor Relations | | Established Practice |
| 29 The Department should fill the vacancy of the Principal Human Resources Analyst to address the number of "open" grievance cases as well as improve the resolution time for cases. | The department is currently recruiting for this position. | Completed | Employee/Labor Relations | The Principal HR Analyst has been filled as of October 2016. | February 2017 |
| 30.1 The Department should automate the processing of FMLA leave applications to improve efficiency, accuracy, control, and communication regarding FMLA leave and eligibility. | The Employee Relations Division is currently obtaining information from our vendor regarding additional features that will allow us to automate a portion of processing FMLA Leave cases. | In Progress | Employee/Labor Relations | | July 2017 |
| 30.2 The Department should ensure that all employees, supervisors, and timekeepers are instructed that they cannot use FMLA time until eligibility has been approved by Human Resources. This should be incorporated into new employee onboarding as well as supervisor training. It should also be regularly sent as an email to all employees who are responsible for entering employee time. | The HR Department will improve communications to other City departments regarding the coding of FMLA time. Additionally, the HR Department will work with IT to determine if reports can be developed to identify inappropriate coding of FMLA time. | In Progress | Employee/Labor Relations | | October 2017 |
| 30.3 The Department should coordinate with the Finance and IT Departments, if possible, to modify IFAS so that employees see a reminder about proper FMLA procedures before they are able to enter FMLA leave. | The HR Department will work to determine whether our existing system is able to provide reminders prior to coding FMLA leave. Note, however, that this may be impacted by the implementation of a new HRIS and/or additional features. | Not Started | Employee/Labor Relations | | December 2017 |
| 31 The Department should strictly enforce its general leave policy to ensure that employees who are absent from work for one year under leave of any type are eligible for initiation of the interactive process requiring them to return to work and require the use of appropriate leave balances. | The HR Department will review and evaluate. The City has a practice of allowing employees more time beyond the parameters of the General Leave Policy. To enforce the policy, the City would have to notify the various labor groups and give them the opportunity to meet and confer. | Not Started | Employee/Labor Relations | | January 2018 |

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| 32 The Department should consider expanding the City's light and modified duty program to include employees who are out on FMLA leave. The Department should calculate the cost/benefit of such an expansion before proposing to move forward with it. | The HR Department will work with other City departments to identify areas in which light/modified duty assignments may exist, conduct cost/benefit analysis, then determine whether this program should be expanded to employees on FMLA leave. | Not Started | Employee/Labor Relations | | January 2019 |
| 33 A report should be generated from IFAS annually that records the total number of leave hours by the types of leave at a department and position level. This would allow the Department to proactively notice any sick leave abuse and also focus on trends of leave usage throughout the City. | The HR Department will work with IT to identify whether a report can be developed to break down annual leave usage at the department and position level. Currently HR has leave usage reports that can be run for employees breaking down the types of leave used. The Principal HR Analyst in Employee Relations would be responsible for developing a leave management process to assist departments to proactively address leave issues. | Not Started | Employee/Labor Relations | | July 2018 |
| 34 The Department should develop an annual employee climate survey, distributed electronically to all citywide staff. The survey should be fully confidential, a summary of the results should be made visible to all staff, and it should be used to direct visible changes and initiatives to address issues raised by its results. | The HR Department will develop a Citywide Employee survey and distribute it annually at the close of the fiscal year. | Not Started | Administration | | June 2017 |
| 35 The Department should strive to bring the number of open claims per adjuster below 150 to ensure that each claim can receive a sufficient level of attention. The lower number of cases will enable adjusters to more proactively manage cases and take initiatives to reduce their financial impact on the City. | Now at full staff, the Workers' Compensation division is concentrating on minimizing examiner case log and updating fund reserves; this allows staff to close more cases thereby bringing caseloads to a more manageable level. | In Progress | Workers' Compensation | | June 2017 |
| 36 The Department should generate and distribute to the Executive Management team and City Council regular reports on the type, duration, resolution, and total cost of claims in order to provide a picture of the City's workers' compensation spending and provide a basis for initiatives to decrease the duration, cost, and litigation of claims and provide appropriate consultation with the City Manager and City Attorney on potential settlements. | The Workers Compensation Division is in the process of providing these reports. Department-specific reports have been distributed monthly since August 1, 2016. Quarterly reports have been provided to the City Manager's Office (CMO) and City Attorney's Office (CAO), also since August 2016. Quarterly review meetings are held with the CMO and CAO to review most significant cases, discuss trends and preventative measures. Annual reports on workers' compensation claims and expenses are being provided to the Finance Committee and City Council; this reporting occurred in February 2016 (intermediate) and September 2016 (for full fiscal year 2015-2016). | Completed | Workers' Compensation | | Completed |
| 37 The Department should closely track and report on the City's injury incidence rate, establish a benchmark for injury incidence rate, and compare their statistics to this benchmark. | The HR Department is in the process of creating the required benchmarks. | In Progress | Workers' Compensation | Started data collection from other entities. | January 2017 |

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| 38 The City should take steps to reduce the number of injuries. These steps could include reviewing injury types/causes to identify patterns, conducting employee workshops and engagement to brainstorm solutions, and evaluation and revision of workplace safety protocols. | The HR Department is in the process of hiring a Safety Officer, who will then work collaboratively with the Workers Compensation Supervisor and City Departments to identify patterns, develop solutions and provide tools to City Departments to reduce injuries. | Not Started | Workers' Compensation | | January 2018 |
| 39 The City should assess the typical cost of injuries by cause and focus its injury reduction initiatives on injury types that are generally the costliest to the City and develop preventive programs. | As stated under Recommendation 38, the HR Department is in the process of hiring a Safety Officer, who will then work collaboratively with the Workers Compensation Supervisor and City Departments to identify patterns, develop solutions and provide tools to City Departments to reduce injuries. | Not Started | Workers' Compensation | | January 2018 |
| 40 The Benefits and Payroll staff should continue to do monthly reconciliations of benefits and payroll deductions. Staff should work with finance and IT to determine if there are any system safeguards that can be set up in IFAS to help mitigate these errors automatically rather than requiring manual adjustments. | The Benefits division along with Finance currently reconciles benefit premiums and employee payroll deductions. This practice will continue. Staff will work with both IT and Finance to identify any system features that may improve the current process. | Not Started | Benefits and Wellness | | July 2017 |
| 41 Over the past three years the City has spent approximately \$116,000 in external investigations contracts. These external investigators should be selected through an RFP process that is compliant with the city's procurement policies. The Department should issue and award the External Investigation RFP that has already been drafted. The fiscal impact for this recommendation will vary based on the number of external investigations contracted out by the City. | The Employee Relations Division is currently drafting an RFP for investigative services. This RFP will include a selection process, and will ensure compliance with the City's purchasing guidelines. | In Progress | Employee/Labor Relations | RFP to be launched by Spring 2017 | January 2017 |
| 42 Workers' Compensation transactions generally are compliant; there were two exceptions in the claims examined. One approach to eliminate further financial errors in workers' compensation would be to either have one payment check for medical payments or encourage providers to use EFTs. This will minimize the number of checks that workers' compensation has to review and let them focus on claim payments to ensure that those are accurate and being disbursed appropriately. | The WC division currently encourages vendors to use Electronic Fund Transfers (EFTs), and the necessary forms are sent to vendors. Based on past experience, it has been determined that sending out bulk checks to vendors causes more work for Workers Compensation staff when as they have to void a check with 25 or more payments made on one check. | Completed | Workers' Compensation | | Completed |