

**STANDARD ASSURANCES FOR CLAIMANTS
TRANSPORTATION DEVELOPMENT ACT (TDA) FUNDS
Local Transportation Funds and State Transit Assistance Funds**

Claimant: _____

Fiscal Year: FY 2026/27

Please initial all applicable paragraphs pursuant to which the attached claim is being submitted.

- _____ 1. **180-DAY CERTIFIED FISCAL AUDIT** - Claimant certifies that it has submitted a satisfactory, independent fiscal audit, with required certification statement, to RCTC and to the State Controller, pursuant to Public Utilities Code (PUC) § 99245 and California Code of Regulations Title 21 (CCR) § 6664 for the prior fiscal year (project year minus two). Claimant assures that this audit requirement will be completed for the current fiscal year (project year minus one). Claimant may also request a 90-day extension pursuant to PUC § 99245 and CCR § 6664.

- _____ 2. **7-MONTH ANNUAL REPORT** - Claimant certifies that it has submitted a State Controller's report in conformance with the uniform system of accounts and reports, to RCTC and to the State Controller, pursuant to PUC § 99243, for the prior year (project year minus two). Claimant assures that this report will be completed for the current fiscal year (project year minus one) in accordance with the State Controller instructions.

- _____ 3. **REVENUE RATIOS FOR OPERATORS IN RIVERSIDE COUNTY** - Claimant filing a claim pursuant to PUC §'s 99268.2, 99268.3, 99268.4, or 99270.1 certifies that it will maintain for the project year a ratio of fare revenues to operating costs of: a) 20 percent if the claimant is serving an urbanized area; b) 10 percent if the claimant is serving a non-urbanized area, or an intermediate ratio established by RCTC in accordance with state legislation.

- _____ 4. **REVENUE RATIOS FOR EXCLUSIVE SERVICES TO ELDERLY AND HANDICAPPED** - Claimants filing a claim pursuant to PUC § 99268.5 certifies that, for the purposes of that claim, it provides services using vehicles for the exclusive use of elderly and handicapped persons and that it will maintain for the project year a ratio of fare revenues to operating costs of 10 percent in accordance with current state legislation.

- _____ 5. **EXTENSION OF SERVICE** - Claimant that received an allocation of LTF funds for an

extension of service pursuant to PUC § 99268.8 certifies that it will file a report of these services pursuant to PUC § 6633.8b within 90 days after the close of the fiscal year in which that allocation was granted.

- _____ 6. **RETIREMENT SYSTEM** – (Please check) Claimant filing a claim pursuant to PUC § 99260 certifies that:
- a) the current cost of its retirement system is fully funded with respect to the officers and employees of its public transportation system; or
 - b) the operator is implementing a plan approved by the transportation planning agency which will fully fund the retirement system for such officers and employees within 40 years; or
 - c) the operator has a private pension plan which sets aside and invests, on a current basis, funds sufficient to provide for the payment of future pension benefits and which is fully in compliance with the requirements stated in PUC § 99272 and PUC § 99273.
- _____ 7. **USE OF FEDERAL FUNDS** - Claimant filing a claim for TDA funds for capital intensive projects pursuant to PUC § 99268.7 certifies that it has made every effort to obtain federal funding for any project which is funded pursuant to PUC § 99268.7.
- _____ 8. **REDUCED FARES FOR ELDERLY AND DISABLED** – A claimant filing a claim pursuant to PUC § 99260 which offers reduced fares to senior citizens and disabled persons certifies that it is in compliance with PUC § 99155.
- _____ 9. **CALIFORNIA HIGHWAY PATROL (CHP) CERTIFICATION** Claimant certifies compliance with Driver Pull Notice Requirements of PUC § 99251 and Vehicle Code 1808.1 (**attach copy of CHP certification**).
- _____ 10. **TRIENNIAL PERFORMANCE AUDIT** Claimant agrees to make a reasonable effort to address all issues and recommendations made in the last Triennial Performance Audit.
- _____ 11. **PRODUCTIVITY IMPROVEMENT PROGRAM** Claimant agrees to make a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244.
- _____ 12. **EFFICEINCY STANDARDS (STA FOR OPERATIONS ONLY)** Claimant receiving funds pursuant to PUC § 99314.6 certify that it meets one of the efficiency standards as described in PUC § 99314.6(a) and can provide documentation to RCTC upon request.
- _____ 13. **PART-TIME DRIVERS (STA FOR OPERATIONS ONLY)** Claimant agrees that is not precluded by any contract entered into on or after June 28, 1979, from employing part-time drivers or contracting with common carriers or persons operating under a franchise or license.

14. STAFFING ON VEHICLES

Claimant certifies that it is in compliance with PUC § 99264 and does not routinely staff, with two or more persons, a vehicle for public transportation purposes designed to be operated by one person.

(Legal Name of Applicant/Claimant)

(Authorizing Signature)

(Print Name and Title)